

1 Endocrine Disruption in Invertebrates: A Survey of Research 2 Progress

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4 **ABSTRACT:** Manmade chemicals can interfere with endocrine processes and
5 have permeated many ecosystems. Arguably, the most devastating example of
6 endocrine disruption occurred in gastropod molluscs which led to the banning
7 of tributyltin. The invertebrates consist of ~95% of all known animals and
8 possess endocrine systems that can significantly differ from that of vertebrates.
9 An expert group in the late 1990s highlighted considerable paucity in our
10 knowledge of these endocrine systems and the limited ability to ascertain risks
11 of endocrine-disrupting chemicals (EDCs) to invertebrates. Twenty years later,
12 we surveyed experts in this field on the current state of the science.
13 Respondents agreed that endocrine disruption is still a significant issue and
14 noted that there was key evidence that EDCs were impacting invertebrates
15 groups. Respondents noted a variety of impediments to advancing the science,
16 including inadequate funding, insufficient knowledge to develop appropriate
17 assays, and generally low support for invertebrate studies. Several scientists
18 highlighted that resources were being misdirected with studies that address impacts of vertebrate EDCs or using biomarkers specific
19 to vertebrate endocrine disruption. Sadly, many of the recommendations proposed by respondents matched those made over two
20 decades ago. Accordingly, the field has not advanced as much as one might have expected.



21 ■ INTRODUCTION

22 Endocrine disruption, resulting from chemical exposure, raised
23 concern as early as 1958 with observations that children taking
24 vitamin pills, contaminated with estrogen during manufacture,
25 developed gynecomastia.¹ The potential for exogenously
26 administered estrogens, resulting in *in utero* exposure, to elicit
27 significant adverse effects was dramatically demonstrated with
28 the drug diethylstilbestrol (DES).² Subsequently, the potential
29 for environmental chemicals to disrupt endocrine function
30 entered the sphere of scientific awareness.³ Much of the research
31 on endocrine-active chemicals initially focused upon environ-
32 mental estrogens and subsequently was expanded to include
33 disruption of androgen and thyroid signaling.^{4,5} This focus upon
34 estrogen, androgen, and thyroid signaling was driven by
35 regulatory mandates.⁵ Continued research established that
36 many endocrine signaling processes are susceptible to
37 perturbation by environmental chemicals and accordingly
38 warrant consideration when evaluating the safety of drugs and
39 environmental pollutants.⁶

40 Just as the number of endocrine signaling processes, that are
41 susceptible to disruption, has expanded over the years, so has the
42 number of species. Initial emphasis and legislation focused upon
43 the protection of human health.⁷ Subsequently, the suscepti-
44 bility of wildlife to environmental endocrine disruptors was
45 realized.⁸ Many compounds have been shown to pose hazards to
46 wildlife through their action as endocrine disruptors,⁸ although
47 low potency and low concentrations in the environment raises

uncertainty regarding risk of hazards to wildlife. The exceptions
48 are steroidal androgens and estrogens used in agriculture or in
49 birth control pills, which are of high potency and can be present
50 in aquatic environments at active concentrations.^{9,10} Despite the
51 growing interest in endocrine disruption in wildlife, research
52 involving invertebrates lagged (SI Figure 1).
53

54 ■ ENDOCRINE DISRUPTION IN INVERTEBRATES

55 Blaber first reported on an intersex condition in the dog-whelk,
56 whereby females developed a penis and vas deferens.¹¹ This
57 specific form of intersex was called imposex, since it is
58 characterized by the superimposition of male sex characteristic
59 onto a female. Gibbs and Bryan published a seminal paper that
60 attributed the condition to tributyltin exposure.¹² Surveys
61 revealed that tributyltin-induced imposex caused reproduction
62 dysfunction with resulting population declines.^{12,13}

This observation that an environmental chemical (tributyltin
63 was used in antifouling marine paints) altered reproductive tract
64 development led to the assertion that tributyltin was the first
65

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66 confirmed case of environmental endocrine disruption in an
 67 invertebrate species. Thus, began the search for the mechanism
 68 by which tributyltin caused imposex. Specifically, a mechanism
 69 was sought that involved sex-steroid signaling since these
 70 hormones are largely responsible for sexual differentiation of the
 71 reproductive tract in vertebrates. Tributyltin-induced increases
 72 in testosterone levels or alterations in the androgen/estrogen
 73 ratio soon became prominent among proposed modes of action
 74 of tributyltin.^{14–16} However, steroidal androgens are not
 75 involved in reproductive tract development in molluscs.¹⁷ The
 76 discovery that tributyltin activates the retinoid X receptor
 77 (RXR) and that other RXR receptor agonists cause imposex
 78 provided compelling evidence for a mode of action of this
 79 pollutant that did not involve steroid hormones.¹⁸ Subsequent
 80 laboratory studies have demonstrated endocrine disruption in a
 81 variety of invertebrates,^{19–21} but lack of effects observed in the
 82 environment or absence of a known endocrine mechanism of
 83 effect has exemplified the high level of uncertainty that exists in
 84 this research domain.

85 The assumption that vertebrate hormones have similar
 86 function in invertebrates permeated early research on endocrine
 87 disruption in invertebrates.^{9,22,23} Further, the general lack of
 88 knowledge of the endocrine system of invertebrates precluded
 89 the evaluation of the susceptibility of specific endocrine targets
 90 to disruption resulting from chemical exposure. All told, research
 91 on environmental endocrine disruption in invertebrates was
 92 hampered by misconceptions on the endocrinology of
 93 invertebrates and a general lack of knowledge of the endocrine
 94 system of invertebrates.

95 The Society of Environmental Toxicology and Chemistry
 96 (SETAC) sponsored a workshop held in The Netherlands in
 97 1998 to address the issue of endocrine disruption in
 98 invertebrates. Proceedings of the workshop were subsequently
 99 published.²⁴ Two goals of the workshop were to evaluate what
 100 was known of the endocrine system along with chemical-
 101 induced endocrine disruption of various invertebrate groups.
 102 Insects and crustaceans dominated the knowledge base with
 103 respect to both endocrinology and endocrine toxicology.
 104 Workshop participants agreed on the need for more research
 105 on basic endocrinology and endocrine toxicology, particularly
 106 among nonarthropod groups.

107 Twenty-years later, SETAC's Endocrine Disrupter Testing
 108 and Risk Assessment Interest Group (EDTRA IG) held a
 109 meeting at the SETAC Europe annual meeting in Helsinki,
 110 Finland, 2019. Preceding this meeting, the authors of this paper
 111 surveyed experts in the field of endocrine disruption for their
 112 views on the status of endocrine disruptor research in
 113 invertebrates. Results of the survey were presented at the
 114 meeting and are summarized below.²⁵

115 ■ SURVEY DESIGN AND QUESTIONS

116 The anonymous survey was designed to determine whether the
 117 participants believed endocrine disruption in invertebrates was
 118 still an issue of concern, how strong the evidence based was that
 119 invertebrates were being adversely impacted, and how far the
 120 field had progressed. Respondents were given the opportunity to
 121 highlight any impediments to the field progressing and were able
 122 to make free text comments to expand on their opinions.
 123 Detailed survey results can be found in SI Table 2. In total there
 124 were 46 respondents to the survey working in 12 different
 125 countries and representing 15 nationalities. All respondents
 126 were active in the field of ecotoxicology. Forty-seven percent
 127 identified themselves as male and 51% females, plus 2% prefer

not to say. The age distribution of respondents was 4.5% (age
 128 18–24), 40.9% (age 25–39), 45.5% (age 40–59), and 9.1%
 129 (age 60+). The majority of the respondents worked in academia
 130 (71%) and the rest from government, regulatory authorities, and
 131 nongovernmental organizations. Half of the respondents
 132 identified themselves as primarily working on vertebrates,
 133 while approximately 40% worked on invertebrates (primarily
 134 molluscs, crustaceans, and annelids) and 10% in regulatory/risk
 135 assessment fields. 136

137 ■ SURVEY RESULTS AND DISCUSSION

138 When participants were asked whether they believe that ED is a
 139 significant problem in nontarget invertebrates (Q1), the
 140 majority replied yes (71.7%), and a smaller proportion either
 141 replied No (10.9%) or Do Not Know (17.4%). A significantly
 142 larger proportion of the researchers studying vertebrates (100%)
 143 said yes to this question compared to those studying
 144 invertebrates (73.3%) (Fisher's exact test, $X = 5.462$, $df = 1$, p
 145 $= 0.033$).

Question 1	Do you believe that endocrine disruption is a significant problem in non-target invertebrates		
	Yes 71.7%	No 10.9%	Don't Know 17.4%

A slight majority considered there to be very or fairly strong
 146 evidence (~59%) for endocrine disruption in invertebrates
 147 (Q2); however interestingly, very few selected “very strong”
 148 (13%) among the available options rather opting for “fairly
 149 strong” (45.7%) evidence for endocrine disruption in inverte-
 150 brates. Approximately 37% of respondents considered the
 151 evidence for endocrine disruption in invertebrates fairly or very
 152 weak, and a further 4% responded “Do Not Know”. There was
 153 no significant difference in the responses of those studying
 154 vertebrates or invertebrates in answering this question (Chi-
 155 square $p > 0.05$). We deliberately asked respondents to exclude
 156 imposex in gastropod snails from their deliberations. This
 157 suggests that while the majority of people believe endocrine
 158 disruption is a current issue impacting invertebrates groups, the
 159 evidence based to support this view could be improved. 160

Question 2	Apart from the well-known cases of imposex in gastropod molluscs, how strong do you feel the evidence is for endocrine disruption in invertebrates				
	Very Strong 13%	Fairly Strong 45.7%	Fairly Weak 26.1%	Very Weak 10.9%	Don't Know 4.3%

We followed up this with a question on whether the
 161 participants agreed with the statement “Research on Endocrine
 162 disruption in invertebrates has advanced substantially over the past
 163 decade”. The opinions were very much split with ~54% agreeing,
 164 35% disagreeing, and ~11% neither agreeing or disagreeing.
 165 There was no significant difference in the responses of those
 166 studying vertebrates or invertebrates in answering this question
 167 (Chi-square $p > 0.05$). Free text opinions (SI Table 1) were also
 168 permissible, and of the 21 statements submitted, the over-
 169 whelming majority were from respondents who clearly felt that
 170 science had not advanced or had not advanced as much as they
 171 would have expected. Where a limited number had felt there was
 172 advancement was in the “omic” led technologies which were able
 173 to not only identify some homologous gene pathways but also
 174 link to some plausible adverse outcomes. Conversely, others felt
 175 that “omics” as a tool was only useful when underpinning well-
 176 characterized endocrine systems and pathways. 177

Reasons given for the lack of advancement were insufficient
 178 funding and scientists focusing on the wrong endpoints as the
 179

180 field was driven by known vertebrate endocrine-disrupting
181 chemicals rather than chemicals that might interact with
182 invertebrate endocrine systems. Several scientists suggested
183 the need for basic mechanistic endocrinology from which to fully
184 understand endocrine disruption. It was noted that scientists
185 were “trying to run before they could walk” and that endocrine
186 disruption was often being confused with reproductive
187 toxicology which may or may not be linked to specific endocrine
188 pathways. Some scientists mentioned that we still do not fully
189 understand the population level impacts of endocrine disruption
190 in invertebrates, while another mentioned that they “could not
191 think of a clear case of endocrine disruption in invertebrates
192 apart from imposex in gastropod snails”.

Question 3	Do you agree with this statement: "Research on Endocrine disruption in invertebrates has advanced substantially over the past decade"				
	Strongly agree 15.2%	Mildly agree 39.1%	Mildly disagree 19.6%	Strongly Disagree 15.2%	Neither agree or disagree 10.9%

193 Participants were asked to state how significant various
194 potential impediments to endocrine disruption research in
195 invertebrates were (SI Table 2). The impediment that
196 accumulated the most number of votes was an insufficient
197 knowledge of invertebrate endocrinology, with 65.2% thinking
198 this was highly significant and a further 26.1% considering this
199 moderately significant. However, pooled responses of “moder-
200 ately” and “highly” significant for each of the options given
201 highly hugely outnumbered those which disagreed with any of
202 the statements. For example, over 90% of respondents
203 considered low regard for invertebrates and 85% considered
204 low regard among regulators among the reasons for lack of
205 progress with this topic.

Question 4	How significant do you consider the following potential impediments to endocrine disruption research in invertebrates?			
	Insignificant	Moderately significant	Highly significant	Don't Know
Lack of Funding	0% (0/46)	41.3% (19/46)	41.3% (19/46)	17.4% (8/46)
Insufficient Knowledge of Invertebrate Endocrinology	2.2% (1/46)	26.1% (12/46)	65.2% (30/46)	6.5% (3/46)
Lack of interest amongst researchers	15.2% (7/46)	47.8% (22/46)	28.3% (13/46)	8.7% (4/46)
Low regard of invertebrates amongst the general public	10.9% (5/46)	41.3% (19/46)	50% (23/46)	0% (0/46)
Low regard of invertebrates amongst regulatory agencies	15.2% (7/46)	34.8% (16/46)	50% (23/46)	2.2% (1/46)
Other impediments (please comment on next question)	15.2% (7/46)	8.7% (4/46)	13% (6/46)	56.5% (26/46)

206 ■ FUTURE PERSPECTIVES

207 There is little doubt that studies of endocrine disruption in
208 vertebrate wildlife advanced primarily because the endocrine
209 systems of many wildlife species are closely conserved with that
210 of humans. Second, vertebrate wildlife are more appealing to the
211 public, both aesthetically and as indicators of potential health
212 threats to humans. This survey revealed that research in
213 invertebrates suffers from a low regard for invertebrates among
214 the general public and therefore also with regulatory authorities.
215 The role of charismatic species in conservation has been well
216 documented,^{26–28} so perhaps more efforts around environ-

mental literacy surrounding the importance of invertebrates is
required to push their importance up the policy agenda. 218

Despite various efforts to develop invertebrate specific
biomarkers and assays for endocrine disruption, international
funding programs have favored research on endocrine
disruption in vertebrates. Where research was developed for
invertebrates, it often focused on translating vertebrate specific
biomarkers of endocrine disruption or focused on vertebrate
specific endocrine-disrupting chemicals. For example, very few
studies have been able to demonstrate upregulation in
vitellogenin biomarkers even in highly feminized crusta-
ceans.^{29–31} Ford proposed that biomarkers of demasculinization
might be more informative than those of feminization in
crustaceans.³² However, comprehensive analysis of gene
expression in parasite-induced and nonparasite-induced intersex
amphipods highlighted that these specimens had many “female”
genes upregulated and very few “male” expressed genes
downregulated.³³ This led the authors to conclude that
crustacean intersexuality is the result of broad feminization
without any large-scale demasculinization contradicting the
original hypothesis when looking at all the gene expression
holistically. What this process did do however was underscore
the need for invertebrate-specific biomarker development to
fully answer questions relating to endocrine disruption in
invertebrates. 241

A number of recommendations came from the 1998 SETAC
workshop on endocrine disruption in invertebrates²⁴ which are
paraphrased below: 244

- There is a requirement to conduct basic research on
invertebrate endocrinology to remedy our lack of
knowledge in mechanisms of action, physiological
control, and hormone structure and function. 248
- Research is needed to test endocrine-disrupting com-
pounds using a variety of invertebrate bioassays with
particular reference to nonvertebrate types of endocrine-
disrupting compounds. 252
- There is a requirement for field assessments and surveys
informed through valid invertebrate specific biomarkers. 254
- Standard toxicity tests with invertebrates should be
modified to include endocrine-related endpoints. 256

Sadly, these key recommendations are as valid now as they
were 20 years ago, and results from this survey indicate that the
science has not advanced as vehemently as scientists would have
liked. However, research over the last 20 years with vertebrates
have revealed some research directions that are relevant to future
endocrine-disruption research in invertebrates. 262

- **Field Investigations:** All documented cases of environ-
mental endocrine disruption in vertebrates originated
with field observations. Greater emphasis needs to be
placed on the evaluation of field populations of
invertebrates with sensitivity toward population dis-
ruptions that may be associated with putative endo-
crine-disrupting chemicals. 269
- **Biological Target Discovery:** The identification and
understanding of targets of endocrine disruption in
various invertebrate phyla requires continued expansion.
Evolutionary studies of similarities and divergences
among invertebrate endocrine systems would facilitate
the identification of common targets of endocrine
disruption among invertebrate phyla along with unique
targets that may render some phyla particularly
susceptible to some endocrine-disrupting chemicals. 278

279 Biomarkers of specific interactions between endocrine-
280 disrupting chemicals and molecular targets in inverte-
281 brates must be developed. Such biomarkers could be used
282 as monitors of exposure and predictors of effects.

283 • **Adverse Outcome Pathway Construction:** Plausible
284 mechanisms of disruption by invertebrate endocrine-
285 disrupting chemicals must be established along with the
286 identification of effects on individuals that could lead to
287 loss of population sustainability.

288 • **Laboratory Corroboration of Field Observations:** Labo-
289 ratory studies are required with endocrine-disrupting
290 chemicals to investigate adverse outcomes that are
291 relevant to field observations at exposure concentrations
292 that are environmentally relevant.

293 Like any area of science, research on endocrine-disrupting
294 compounds competes for a finite amount of resources. The topic
295 areas of interest within ecotoxicology can be transient based on
296 government and public concerns of the time.³⁴ Results of this
297 survey indicate that endocrine disruption in invertebrates is a
298 significant environmental issue that continues to be under-
299 studied due to lack of resources. Perhaps, this deficiency will be
300 remedied over the next 20 years.

301 ■ ASSOCIATED CONTENT

302 ■ Supporting Information

303 The Supporting Information is available free of charge at
304 <https://pubs.acs.org/doi/10.1021/acs.est.0c04226>.

305 Number of endocrine-disruptor citations in fish and
306 invertebrates by decade (SI Figure 1) and free text
307 responses to Questions 3 and 4 (SI Tables 1 and 2) (PDF)

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320 Notes

321 This survey was approved by the University of Portsmouth ethic
322 committee.

323 The authors declare no competing financial interest.

Biography

324



Professor Alex Ford is a Professor of Biology and Deputy Director for 325
the Centre of Blue Governance at the University of Portsmouth (U.K.). 326
His interests and expertise lie within invertebrate biology, parasitology, 327
and environmental toxicology. He is a long serving member of the 328
Society of Environmental Toxicology and Chemistry (SETAC) and has 329
a passion for cross-disciplinary research and scientific communication. 330

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endocrine-disrupting compounds at source. 335

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